

BARCLAYS WEALTH

Woolwich Plan Managers Structured Product Application Form (Stocks & Shares ISA)

This Application Form, together with the brochure issued by Woolwich Plan Managers Limited ("we", "us", "our(s)") for the product you apply to subscribe to using this Application Form (the "Product") (the "Brochure"), including the terms and conditions contained in the Brochure (the "Terms"), together with any information made available on applicable charges, commissions, tariffs and interest rates, sets out a legal agreement (the "Agreement") that seeks to define the basis on which we will provide you with the Product. Except where stated otherwise, your point of contact with us in connection with the Product is your Adviser (whose name and contact details are supplied to you).

The law favours written agreements, so it is important you read the documents setting out the Agreement carefully, to ensure they contain everything you want, and nothing you are not prepared to agree. You should ensure you have been provided with all the documents setting out the Agreement and keep a copy of these in a safe place for future reference. If you have any queries or concerns, please contact us (for contact details, please refer to this Application Form and to the Brochure).

To apply for the Product: (1) Please complete this Application Form clearly, in BLOCK CAPITALS using a black ballpoint pen, ensuring all applicable signature blocks are duly signed and dated; (2) If you have not taken advice from a professional adviser in relation to the Product, please complete the separate Appropriateness Questionnaire and submit it with this Application Form; and (3) Once this Application Form has been completed, please return it to us by posting it to: Woolwich Plan Managers, P.O. Box 9283, Brentwood, Essex CM14 9AQ. Please note that returning your application incomplete may cause a delay in processing.

Section 1 – Participants' details

We must comply with legislation regarding money laundering, so we may ask you to provide documents evidencing your identity.

A. Investor

Title Mr Mrs Miss Ms Dr

Other title

Male Female

First name(s)

Surname

Permanent residential address

Postcode

Telephone (day)

Telephone (evening)

Do you have a National Insurance (N.I.) Number? ¹

Yes No

If yes, please state

Date of birth

Are you a UK resident? Yes No

If no, please specify

Are you a UK national? Yes No

If no, please specify

¹ You should be able to find your N.I. number on a payslip, P45 or P60, a letter from HM Revenue & Customs, a letter from HM Government's Department for Work and Pensions or a pension order book. Please note that if you do not provide us with an N.I. number, we may, at our discretion, provisionally open the account, but you will have 30 days to supply any missing information. If, however, you have not been issued with an N.I. number, we may still start to provide the Product, but it will not be eligible for investment inside a stocks and shares ISA.

B. Authorised Representative

If you are acting on behalf of the Investor under a legally appointed authority², please tick the following box and provide your personal details below.

Title Mr Mrs Miss Ms Dr

Other title

Male Female

First name(s)

Surname

Permanent residential address

Postcode

Telephone

Email

²Evidence certified as a true copy by a Lawyer, Doctor, Accountant, Police Officer, Bank Manager, Justice of the Peace or other suitable professional of your legal appointment to act on behalf of the investor will need to be supplied.

Section 2 – Product subscription

You wish to subscribe to the following Product (state the full name of the Product)

with the following investment option (if applicable)

(e.g. Potential Early Maturity, Annual Income)

the following amount (please refer to the Brochure for minimum and maximum amounts) £

which will be paid either by

- cheque made payable to 'Barclays Investment Plans' (if ISA(s) are to be transferred to us from current ISA manager(s), please select this option and complete an ISA Transfer Authority Form for each ISA to be transferred); or
- telegraphic transfer sent to the following account on the following date:

Account name Barclays Investment Plans

Address Barclays Bank PLC

1 Churchill Place

London E14 5HP

Sort code 20-32-53

Account number 30990299

Date / / /

Section 3 – Income

If the Product pays income, you instruct us to credit the following account directly with the income due to you:

Name of bank or building society

Name of account holder

Account number

Sort code

- -

Section 4 – Declaration

The Agreement is our standard client agreement for the Product, upon which we intend to rely. For your own benefit and protection, you should read all the documents setting out the Agreement carefully before signing this Section 4. For the avoidance of doubt, the documents setting out the Agreement include the Brochure (including the Terms) and this Application Form. If you do not understand any point, please ask us for further information.

By signing this Section 4, you accept and declare the following:

- (A) You wish us to provide the Product within a stocks and shares ISA with us ("this ISA") in accordance with the Agreement;
- (B) The information in this Application Form, whether in handwriting or not, is, to the best of your knowledge and belief, true and complete. You will inform us without delay of any changes to this information;
- (C) **ISA conditions**
 - (a) You are 18 years of age or over;
 - (b) All subscriptions made, and to be made, belong to you;
 - (c) All transfers made, and to be made, belong to you. You will inform us promptly if any amount paid into this ISA does not belong to you;
 - (d) You have not subscribed, and will not subscribe, more than the prevailing maximum overall subscription limit in total to a stocks and shares ISA and a cash ISA in the same tax year;
 - (e) You have not subscribed, and will not subscribe, to another stocks and shares ISA in the same tax year that you subscribe to this ISA;
 - (f) You are resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings and Pensions) Act 2003

(Crown employees serving overseas), are treated as being performed in the United Kingdom, or you are married to, or in a civil partnership with, a person who performs such duties. You will inform us if you cease to be so resident and ordinarily resident, or to perform such duties, or be married to, or in a civil partnership with, a person who performs such duties;

(g) You authorise us:

- (i) To hold your cash subscriptions, investments in this ISA, interest, dividends, and any other rights or proceeds in respect of those investments and any other cash;
- (ii) To make on your behalf any claims to relief from tax in respect of investments in this ISA;
- (iii) On your written request, to transfer or pay to you (as the case may be) investments in this ISA, interest, dividends, rights or other proceeds in respect of such investments or any other cash held for this ISA; and
- (iv) To provide HM Revenue & Customs with all relevant information about this ISA and investments in this ISA;

(h) Relevant only if this Section 4 is being signed by an authorised representative acting on behalf of the investor in the ISA: The signatory to this Section 4 is the legally appointed representative of the investor, by authority granted by (tick as appropriate):

- Guardianship
- Continuing Power of Attorney
- Power of Attorney
- Enduring Power of Attorney
- Appointment under Court Order

(D) Your information

(a) We and other members of the Barclays Group may:

- (i) Make and perform credit reference, identity, electoral register, fraud, money laundering, and other enquiries and searches in respect of you;
- (ii) Disclose your full account information to credit reference agencies, fraud prevention agencies and insurance companies. Credit reference agencies will maintain a record of our searches and the information we provide them. If you give us false or inaccurate information, or we suspect fraud, we will record this with fraud prevention agencies. Such records and information may be used by members of the Barclays Group and third

parties: to make decisions about you or other members of your household on credit, motor, household, life and other insurance facilities (including handling any claims); for debt tracing; and to prevent fraud and money laundering.

Records held by credit reference agencies may be linked to records about persons financially associated with you, which may be taken into account when assessing applications;

(iii) In order to make payments from your accounts, send the details of any such payment (including information relating to those involved in the payment) abroad, where they may be accessible by overseas regulators and other authorities in connection with their legitimate duties (e.g. the prevention of crime);

(iv) Collect and use information about you as set out in Term 28 of the Terms, including: collecting and using information about how you use and manage your accounts (e.g. transactions made); informing you about products and services; transferring your information within the Barclays Group or to service providers located in the UK or overseas; and using information relating to your medical, health, lifestyle and ethnic background, and to criminal offences (alleged or otherwise), for the purposes of administration, and product and service identification;

(v) Relevant if there is more than one applicant: update records held by members of the Barclays Group or third parties on any applicants, using information given at any time by any of the other Applicants; and make and perform the enquiries and searches in Section 4(D)(a)(i) on any applicants if any of the other applicants at any time requests a loan or increased lending;

(b) Under data protection legislation, you can request certain information about you by writing to us; a fee may be charged for this service, as permitted by appropriate law or regulation;

(c) We may record and monitor telephone calls, for your protection and ours, to check instructions and to ensure we are meeting our service standards.

Keeping in touch

From time to time, we and other members of the Barclays Group would like to keep you up-to-date about products and services which we think you will find useful. As we are concerned about the environment, our aim is to use email instead of mail whenever practical.

Please tick the following box if you would prefer NOT to benefit from these communications:

Signature: Applicant

Name

Date / /

To receive details of those fraud prevention agencies from whom we obtain and with whom we record information about you, contact the Barclays Information Line on 0800 400 100. If calling from outside the UK, please call +44 (0)247 6842 100. Lines are open 7am to 11 pm (GMT). Calls may be recorded so we can monitor the quality of our service and for security purposes.

Adviser details	
Company name	<input type="text"/>
Company FSA no:	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Adviser name	<input type="text"/>
Advisor FSA no:	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Telephone	<input type="text"/>
Commission given up	<input type="text"/> %
Money laundering certificate (or equivalent) attached	<input type="checkbox"/>

This item can be provided in Braille, large print or audio by calling 0800 400 100* (via TextDirect if appropriate). *Lines are open 8am to 8pm UK time Monday to Friday and 9am to 5pm UK time Saturday and UK bank holidays. Calls to 0800 numbers are free if made from a UK landline. Other call costs may vary – please check with your telecoms provider.

Calls may be recorded so that we can monitor the quality of our service and for security purposes.

Barclays Wealth is the wealth management division of Barclays and operates through Barclays Bank PLC and its subsidiaries.
Woolwich Plan Managers Limited is authorised and regulated by The Financial Services Authority. FSA Number: 183887. Woolwich Plan Managers is registered in England Registered Number: 3230386. Registered Office: 1 Churchill Place, London E14 5HP. Correspondence address: PO Box 9283, Brentwood, Essex CM14 9AQ.
Item Ref: 9908977. July 2009

BARCLAYS WEALTH

Woolwich Plan Managers Structured Product ISA Transfer Authority Form

Section 1 – Participants' details

A. Investor

Title Mr Mrs Miss Ms Dr

Other title Male Female

First name(s)

Surname

Permanent residential address

Postcode

B. Current ISA manager

Name

Address

Postcode

Section 2 – Transfer instruction

- You wish to subscribe to the following Product (state the full name of the Product)

with the following investment option (if applicable) (e.g. Potential Early Maturity, Annual Income)

- Please check with your current ISA manager whether there are any penalties or restrictions on transfers of this ISA to another ISA manager.
- When Woolwich Plan Managers Limited (“we”, “us”) receive this ISA, we will classify it as a stocks and shares ISA. If this ISA is a cash ISA, you will not be able to transfer back to a cash ISA at a later date.
- If this ISA is a current tax year (i.e. 2009/2010) ISA, only a full transfer will be permitted. Full and partial transfers may be permitted for previous tax year (i.e. pre-2009/2010) ISAs; please check with your current ISA manager.

Please state the account number of the ISA you wish to transfer to us (“this ISA”) and then complete Section 2(A) or 2(B) (as appropriate):

Account number of the ISA you wish to transfer to us (“this ISA”)

(A) Is this ISA a current tax year (i.e. 2009/2010) ISA? Yes No

If yes, please state approximate transfer value £

(B) Is this ISA a previous tax year (i.e. pre-2009/2010) ISA? Yes No

(i) Full Yes No

If yes, please state approximate transfer value £

(ii) Partial³ Yes No

If yes, please state percentage and approximate value being transferred % £

³You may wish to check with your current ISA manager that partial transfers are allowed. Additionally you may use the box below to give further instruction to your current ISA manager with regard to what specific amount or tax years should be transferred and/or what investments should be realised to meet the transfer.

If you wish to give further instructions to your current ISA manager with regard to what specific amounts or tax years should be transferred and/or what investments should be realised to meet the transfer value, please do so here:

The aggregate total transfer value must be at least £3,600.

Section 3 – Declaration

By signing below, you instruct your current ISA manager to transfer this ISA as indicated above, selling investments to realise the transfer value, by sending a cheque, made payable to 'Barclays Investment Plans', to us at: Woolwich Plan Managers, P.O. Box 9283, Brentwood, Essex CM14 9AQ.

Signature: Applicant

Name

Date

Relevant only if this Section 3 is being signed by an authorised representative acting on behalf of the investor in the ISA: The signatory to this Section 3 is the legally appointed representative of the investor, by authority granted by (tick as appropriate):

Guardianship Continuing Power of Attorney

Power of Attorney Enduring Power of Attorney

Appointment under Court Order

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