BARCLAYS WEALTH

Woolwich Plan Managers Structured Product Application Form (pension scheme client)

This Application Form, together with the brochure issued by Woolwich Plan Managers Limited ("we", "us", "our(s)") for the product you apply to invest in using this Application Form (the "Product") (the "Brochure"), including the terms and conditions contained in the Brochure (the "Terms"), together with any information made available on applicable charges, commissions, tariffs and interest rates, sets out a legal agreement (the "Agreement") which seeks to define the basis on which we will provide you with the Product. Except where stated otherwise, your point of contact with us in connection with the Product will be our Contact Centre on 0800 234 6021* (from abroad, please call +44 (0) 131 605 3163*).

The law favours written agreements, so it is important you read the documents setting out the Agreement carefully, to ensure they contain everything you want, and nothing you are not prepared to agree. You should ensure you have been provided with all the documents setting out the Agreement and keep a copy of these in a safe place for future reference. If you have any queries or concerns, please contact us (for contact details, please refer to this Application Form and to the Brochure).

To apply for this Product: (1) Please complete this Application Form clearly, in BLOCK CAPITALS using a black ballpoint pen, ensuring all applicable signature blocks are duly signed and dated; (2) If you have not taken advice from a professional adviser in relation to the Product, please complete the separate Appropriateness Questionnaire and submit it with this Application Form; and (3) Once this Application Form has been completed, please return it to us by posting it to: Woolwich Plan Managers, P.O. Box 9283, Brentwood, Essex CM14 9AQ.

Section 1 – Scheme and participants' details

Name of the scheme

Name of administrators

Address for correspondence

Postcode

Contact name

Telephone

Email

Additional information for Unapproved Pension Scheme

Name of employer

Address of employer

Postcode

Please indicate the type of Scheme (tick one box):

An occupational scheme which is not a Small Self-Administered Scheme[1] ☐

A Small Self-Administered Scheme[1] (SSAS) ☐

A Self-Invested Personal Pension scheme (SIPP) ☐

HM Revenue & Customs Scheme reference number

[1] A copy of the HM Revenue & Customs approval letter for these scheme types is required and should be supplied together with this Application Form.

Section 2 – Product selection and subscription

You wish to invest in the following Product (state full name):

with the following investment option (if applicable) (e.g. 3-Year, Potential Early Maturity, Annual Income):

the following amount (please refer to the Brochure for minimum and maximum amounts £

which will be paid either by (tick one box):

- cheque made payable to 'Barclays Investment Plans'; or ☐
- telegraphic transfer sent to the following account on the following date ☐

Account name	Barclays Investment Plans
Address	Barclays Bank PLC
	1 Churchill Place
	London E14 5HP
Sort code	20-32-53
Account number	30990299

Date D D / M M / Y Y Y Y

Section 3 – Income

If the Product pays income, the proceeds earned will be paid directly into the Pension Fund. Please provide the Pension Fund account details below:

Name of Bank or Building Society

Name of account holder

Bank or building society account number

Branch Sort Code
/ /

Section 4 - Trustee(s) details

All Trustees detailed in this Section 4 will be the registered holders that we hold on our register. We will only accept instructions from these Trustees for this investment.
All trustees detailed in this Section 4 should sign this document in Section 5.

1st Trustee

First name(s)

Surname

Nationality

Date of birth D D / M M / Y Y Y Y

Address

Postcode

2nd Trustee

First name(s)

Surname

Nationality

Date of birth D D / M M / Y Y Y Y

Address

Postcode

3rd Trustee

First name(s)

Surname

Nationality

Date of birth D D / M M / Y Y Y Y

Address

Postcode

4th Trustee

First name(s)

Surname

Nationality

Date of birth D D / M M / Y Y Y Y

Address

Postcode

Section 5 – Declaration

The Agreement is our standard client agreement for the Product, upon which we intend to rely. For your own benefit and protection, you should read all the documents setting out the Agreement carefully before signing this Section 5. For the avoidance of doubt, the documents setting out the Agreement include the Brochure (including the Terms) and this Application Form. If you do not understand any point, please ask us for further information.

By signing this Section 5, you accept and declare (as Trustees of the Scheme) the following:

(A) You wish us to provide the Product in accordance with the Agreement. If we require any amendment to the Agreement before we accept your application, we will seek your agreement to the amended terms and conditions before accepting your application;

(B) You authorise us to hold your cash subscription, direct investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash. We confirm that applicants using this Application Form will be allowed to effect partial withdrawals in respect of the Product (please refer to Term 10.2 of the Terms);

(C) Your powers of investment, and delegation of those powers, permit you to invest the assets of the Scheme, or part of them not less than the part which you propose to invest, in the Product;

(D) If the Scheme is an occupational scheme, it is an exempt-approved scheme (or has been presented for approval) under Chapter I of Part XIV of the Income and Corporation Taxes Act 1988. You will advise us immediately if the Scheme ceases to be so approved (or if its application for approval is withdrawn or not granted);

(E) If the Scheme is a personal pension scheme, it is a personal pension scheme under Chapter IV of Part XIV of the Income and Corporation Taxes Act 1988. You will advise us immediately if the Scheme ceases to be so approved;

(F) You authorise HM Revenue & Customs to inform us if the Scheme is not granted exempt status or if that approval is withdrawn;

(G) You have received the Key Features document and you do not require a written confirmation to be sent to you setting out the full details of each transaction to purchase or sell investments;

(H) The information in this Application Form, whether in handwriting or not, is, to the best of your knowledge and belief, true and complete. You will inform us without delay of any changes to this information;

(I) Your information

(a) We and other members of the Barclays Group may:

(i) Make and perform credit reference, identity, electoral register, fraud, money laundering, and other enquiries and searches in respect of you;

(ii) Disclose your full account information to credit reference agencies, fraud prevention agencies and insurance companies. Credit reference agencies will maintain a record of our searches and the information we provide them. If you give us false or inaccurate information, or we suspect fraud, we will record this with fraud prevention agencies. Such records and information may be used by members of the Barclays Group and third parties: to make decisions on you or other members of your household on credit, motor, household, life and other insurance facilities (including handling any claims); for debt tracing; and to prevent fraud and money laundering. Records held by credit reference agencies may be linked to records about persons financially associated with you, which may be taken into account when assessing applications;

(iii) In order to make payments from your accounts, send the details of any such payment (including information relating to those involved in the payment) abroad, where they may be accessible by overseas regulators and other authorities in connection with their legitimate duties (e.g. the prevention of crime);

(iv) Collect and use information about you as set out in the 'Your information' clause of the Terms, including: collecting and using information about how you use and manage your accounts (e.g. transactions made); informing you about products and services; transferring your information within the Barclays Group or to service providers located in the UK or overseas; and using information relating to your medical, health, lifestyle and ethnic background, and to criminal offences (alleged or otherwise), for the purposes of administration, and product and service identification;

(v) Relevant if there is more than one applicant: update records held by members of the Barclays Group or third parties on any applicants, using information given at any time by any of the other Applicants; and make and perform the enquiries and searches in Section 5(I)(a)(i) on any applicants if any of the other applicants at any time requests a loan or increased lending;

(b) Under data protection legislation, you can request certain information about you by writing to us; a fee may be charged for this service, as permitted by appropriate law or regulation; and

(c) We may record and monitor telephone calls, for your protection and ours, to check instructions and to ensure we are meeting our service standards.

Keeping in touch

From time to time, we and other members of the Barclays Group would like to keep you up-to-date about products and services which we think you will find useful. As we are concerned about the environment, our aim is to use email instead of mail whenever practical.

Please tick the following box if you would prefer NOT to benefit from these communications: ☐

All Trustees detailed in Section 4 should sign below

Signature: 1st Trustee/Authorised Signatory*

Name

Capacity

Date D D / M M / Y Y Y Y

Signature: 2nd Trustee/Authorised Signatory*

Name

Capacity

Date D D / M M / Y Y Y Y

Signature: 3rd Trustee/Authorised Signatory*

Name

Capacity

Date D D / M M / Y Y Y Y

Signature: 4th Trustee/Authorised Signatory*

Name

Capacity

Date D D / M M / Y Y Y Y

*For any Trustee that is a Corporate Trustee, please also provide a copy of the Company signatory list.

To receive details of those fraud prevention agencies from whom we obtain and with whom we record information about you, contact the Barclays Information Line on 0800 400 100. If calling from outside the UK, please call +44 (0)247 6842 100. Lines are open 7am to 11pm (GMT). Calls may be recorded so that we can monitor the quality of our service and for security purposes.

Money laundering regulations

To comply with the regulations covering the prevention of money laundering we will need to satisfy ourselves of the identity of all trustees. Please ensure that the appropriate verification is carried out for all trustees. We will need to be notified of any change in trustees or signatories. We will also require a copy of the HM Revenue & Customs approval letter.

Adviser details – Please complete all sections

Company name

Company FSA no:

Adviser name

Adviser FSA no:

Telephone

Commission given up %

Money laundering certificate (or equivalent) attached.

This item can be provided in Braille, large print or audio by calling 0800 400 100* (via TextDirect if appropriate).

*Lines are open 8am to 8pm UK time Monday to Friday and 9am to 5pm UK time Saturday and UK bank holidays. Calls to 0800 numbers are free if made from a UK landline. Other call costs may vary – please check with your telecoms provider.
Calls may be recorded so that we can monitor the quality of our service and for security purposes.

Item Ref: 9908962. July 2009