BARCLAYS WEALTH

Woolwich Plan Managers Structured Product Application Form (trust or company client)

This Application Form, together with the brochure issued by Woolwich Plan Managers Limited ("we", "us", "our(s)") for the product you apply to subscribe to using this Application Form (the "Product") (the "Brochure"), including the terms and conditions contained in the Brochure (the "Terms"), together with any information made available on applicable charges, commissions, tariffs and interest rates, sets out a legal agreement (the "Agreement") that seeks to define the basis on which we will provide you with the Product. Except where stated otherwise, your point of contact with us in connection with the Product is your Adviser (whose name and contact details are supplied to you).

The law favours written agreements, so it is important you read the documents setting out the Agreement carefully, to ensure they contain everything you want, and nothing you are not prepared to agree. You should ensure you have been provided with all the documents setting out the Agreement and keep a copy of these in a safe place for future reference. If you have any queries or concerns, please contact us (for contact details, please refer to this Application Form and to the Brochure).

To apply for the Product: (1) Please complete this Application Form clearly, in BLOCK CAPITALS using a black ballpoint pen, ensuring all applicable signature blocks are duly signed and dated. If you are applying in your capacity as trustee(s) (which includes corporate trustee(s)), you should complete Sections 1A, 2, 3 and 4. If you are applying in your capacity as a single corporate investor (and not as corporate trustee(s)), you should complete Sections 1B, 2, 3 and 4; and (2) If you have not taken advice from a professional adviser in relation to the Product, please complete the separate appropriateness questionnaire and return it with this Application Form; and (3) Once this Application Form has been completed, please return it to us by posting it to: Woolwich Plan Managers, P.O. Box 9283, Brentwood, Essex CM14 9AQ. Please note that returning this Application Form incomplete may cause a delay in processing your application.

Section 1A – Trusts

Full name of Trust

Nature of Trust (e.g. 'Discretionary')

Purpose of Trust

Country of establishment

Address for correspondence

Postcode

Contact name

Nationality

Telephone

Email

Name(s) of Beneficiary(ies)[1]

%

%

%

%

[1] Where possible, please list the names of any individually-named beneficiaries who have a beneficial interest of at least 25% in the trust assets. Where no individuals are named, please inform us of the name(s) and nature(s) of the class(es) of beneficiaries..

1st Trustee

First name(s)

Surname

Nationality

Date of birth D D / M M / Y Y Y Y

Address

Postcode

2nd Trustee

First name(s)

Surname

Nationality

Date of birth D D / M M / Y Y Y Y

Address

Postcode

3rd Trustee

First name(s)

Surname

Nationality

Date of birth D D / M M / Y Y Y Y

Address

Postcode

4th Trustee

First name(s)

Surname

Nationality

Date of birth D D / M M / Y Y Y Y

Address

Postcode

Trusts checklist

Please ensure you provide the following information along with this Application Form:

Extract(s) from Trust Deed (or Will, if a Will Trust) confirming the existence of the trust ☐

Original or certified copy signatory list of all those authorised to give instructions (if a corporate trustee is a holder) ☐

Identity and address verification documents or an IFA ID&V Certificate for all registered holders ☐

Identity and address verification documents or IFA ID&V Certificates for all other trustees, any protector or controller and any named beneficiaries with an interest of at least 25% ☐

Section 1B – Companies

Core details

Name of company

Registered office

Postcode

Registered number

Address for correspondence (if different from above)

Postcode

Contact name

First name(s)

Surname

Nationality

Telephone

Email

Company Checklist
Please ensure you provide the following information along with this Application Form:

Names of all directors and any shareholders with more than 25% interest in the company

Copy of the authorised signatory list

Identity and address verification documents or IFA ID&V Certificates for shareholders with more than a 25% interest in the company

Section 2 – Product subscription

You wish to subscribe to the following (please state full name of the Product)

with the following investment option (if applicable)
(e.g. 3-Year, Potential Early Maturity, Annual Income)

the following amount (please refer to the Brochure for minimum and maximum amounts) £

which will be paid either by (tick one box):

- cheque made payable to 'Barclays Investment Plans'; or
- telegraphic transfer sent to the following account on the following date:

Account name	Barclays Investment Plans
Address	Barclays Bank PLC 1 Churchill Place London E14 5HP
Sort code	20-32-53
Account number	30990299

Date D D / M M / Y Y Y Y

Section 3 – Income

If the Product pays income, you instruct us to credit the following account directly:

Name of bank or building society

Name of account holder

Bank or building society account number

Sort code – –

Section 4 – Declaration

The Agreement is our standard client agreement for the Product, upon which we intend to rely. For your own benefit and protection, you should read all the documents setting out the Agreement carefully before signing this Section 4. For the avoidance of doubt, the documents setting out the Agreement include the Brochure (including the Terms) and this Application Form. If you do not understand any point, please ask us for further information.

By signing this Section 4, you accept and declare the following:

(A) If you are an individual, you are 18 years of age or over;

(B) You wish us to provide the Product in accordance with the Agreement. If we require any amendment to the Agreement before we accept your application, we will seek your agreement to the amended terms and conditions before accepting your application;

(C) You authorise us to hold your cash subscription, direct investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash;

(D) You have received the Brochure and you do not require a written confirmation to be sent to you setting out the full details of each transaction to purchase or sell investments;

(E) The information in this Application Form, whether in handwriting or not, is, to the best of your knowledge and belief, true and complete. You will inform us without delay of any changes to this information;

(F) Your information

- (a) We and other members of the Barclays Group may:
  - (i) Make and perform credit reference, identity, electoral register, fraud, money laundering, and other enquiries and searches in respect of you;
  - (ii) Disclose your full account information to credit reference agencies, fraud prevention agencies and insurance companies. Credit reference agencies will maintain a record of our searches and the information we provide them. If you give us false or inaccurate information, or we suspect fraud, we will record this with fraud prevention agencies. Such records and information may be used by members of the Barclays Group and third parties: to make decisions on you or other members of your household on credit, motor, household, life and other insurance facilities (including handling any claims); for debt tracing; and to prevent fraud and money laundering. Records held by credit reference agencies may be linked to records about persons financially associated with you, which may be taken into account when assessing applications;
  - (iii) In order to make payments from your accounts, send the details of any such payment (including information relating to those involved in the payment) abroad, where they may be accessible by overseas regulators and other authorities in connection with their legitimate duties (e.g. the prevention of crime);
  - (iv) Collect and use information about you as set out in Term 28 (where the Product is a plan) or 26 (where the Product is a deposit) of the Terms, including: collecting and using information about how you use and manage your accounts (e.g. transactions made); informing you about products and services; transferring your information within the Barclays Group or to service providers located in the UK or overseas; and using information relating to your medical, health, lifestyle and ethnic background, and to criminal offences (alleged or otherwise), for the purposes of administration, and product and service identification;
  - (v) Relevant if there is more than one applicant: update records held by members of the Barclays Group or third parties on any applicants, using information given at any time by any of the other Applicants; and make and perform the enquiries and searches in Section 4(F)(a)(i) on any applicants if any of the other applicants at any time requests a loan or increased lending;
- (b) Under data protection legislation, you can request certain information about you by writing to us; a fee may be charged for this service, as permitted by appropriate law or regulation;
- (c) We may record and monitor telephone calls, for your protection and ours, to check instructions and to ensure we are meeting our service standards.

Please note: We must comply with legislation regarding money laundering, so we may ask you to provide documents evidencing your identity.

Keeping in touch

From time to time, we and other members of the Barclays Group would like to keep you up-to-date about products and services which we think you will find useful. As we are concerned about the environment, our aim is to use email instead of mail whenever practical.

Please tick the following box if you would prefer NOT to benefit from these communications: ☐

Signature: 1st Authorised Signatory

Name

Capacity (e.g. 1st Trustee as detailed in Section 1A)

Date D D / M M / Y Y Y Y

Signature: 2nd Authorised Signatory

Name

Capacity (e.g. 2nd Trustee as detailed in Section 1A)

Date D D / M M / Y Y Y Y

Signature: 3rd Authorised Signatory

Name

Capacity (e.g. 3rd Trustee as detailed in Section 1A)

Date D D / M M / Y Y Y Y

Signature: 4th Authorised Signatory

Name

Capacity (e.g. 4th Trustee as detailed in Section 1A)

Date D D / M M / Y Y Y Y

To receive details of those fraud prevention agencies from whom we obtain and with whom we record information about you, contact the Barclays Information Line on 0800 400 100. If calling from outside the UK, please call +44 (0)247 6842 100. Lines are open 7am to 11pm (GMT). Calls may be recorded so that we can monitor the quality of our service and for security purposes.

Adviser details – Please complete all sections

Company name

Company FSA no:

Adviser name

Advisor FSA no:

Telephone

Commission given up %

Money laundering certificate (or equivalent) attached. ☐

This item can be provided in Braille, large print or audio by calling 0800 400 100* (via TextDirect if appropriate).

*Lines are open 8am to 8pm UK time Monday to Friday and 9am to 5pm UK time Saturday and UK bank holidays. Calls to 0800 numbers are free if made from a UK landline. Other call costs may vary – please check with your telecoms provider.

Calls may be recorded so that we can monitor the quality of our service and for security purposes.

Your feedback: If you want to complain you may do so in person, in writing, by post or email, or by telephone. To obtain a copy of our complaint-handling procedures, or to make a complaint, please contact your account-holding centre.

Barclays Wealth is the wealth management division of Barclays and operates through Barclays Bank PLC and its subsidiaries.

Woolwich Plan Managers Limited is authorised and regulated by the Financial Services Authority. FSA number: 183887. Woolwich Plan Managers Limited is registered in England. Registered number: 3230386. Registered Office: 1 Churchill Place, London E14 5HP. Correspondence address: PO Box 9283, Brentwood, Essex CM14 9AQ.

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